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NOTICE OF ALLOWANCE AND FEE(S) DUE

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08/14/2007

SUGHRUE-265550 2100 PENNSYLVANIA AVE. NW WASHINGTON, DC 20037-3213

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| EXAM | IINER |
| CADUGA | N, ERICA E |
| ART UNIT | PAPER NUMBER |

3722 DATE MAILED: 08/14/2007

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/534 354 | 05/09/2005 | Sumio Sugita | 087633 | . 4827 |

TITLE OF INVENTION: MAIN SHAFT DEVICE AND MACHINE TOOL WITH THE SAME

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO . | \$1400 | \$300 | \$0 | \$1700 | 11/14/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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| | 5550 VANIA AVE. NW I, DC 20037-3213 | | I he Stat addi tran | reby certify that thi tes Postal Service we ressed to the Mail smitted to the USP | s Fee(ith suf Stop ΓΟ (57 | s) Transmittal is being ficient postage for first ISSUE FEE address at 1) 273-2885, on the da | deposited with the United class mail in an envelope bove, or being facsimile te indicated below. |
| | | | | | | | (Depositor's name) |
| | | | | | | | (Signature) |
| | | | | | | | (Date) |
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| | less an assignee is ident h in 37 CFR 3.11. Comp | | • • | atent. If an assign assignment. | | | cument has been filed for |
| Please check the appropr | iate assignce category or | categories (will not be pr | rinted on the patent): | Individual 🗆 Co | rporat | ion or other private gro | up entity Government |
| | are submitted: No small entity discount p | permitted) | D. Payment of Fee(s): (Plead | rd. Form PTO-2038 | is atta | sched. | ŕ |
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| interest as shown by the | records of the United Sta | ates Patent and Trademark | Office. | ane appricant, a regi | 310100 | attorney or agent, or the | assignee or other party in |
| Authorized Signature | * | | · . | | | | |
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| This collection of inform an application. Confiden submitting the completed this form and/or suggesti | nation is required by 37 Ctiality is governed by 35 d application form to the long for reducing this by | CFR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary | on is required to obtain or 1.14. This collection is est depending upon the indiversity of the chief Information Office. | retain a benefit by the timated to take 12 revidual case. Any co | he pub ninutes mment | lic which is to file (and is to complete, including its on the amount of time | by the USPTO to process); gathering, preparing, and e you require to complete |

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| • | | | | DATE MAILED: 08/14/200 | 7 | | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 344 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 344 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.